

RECEIVED
CENTRAL FAX CENTER
FEB 08 2006

FAX TRANSMISSION**DATE:** February 8, 2006**PTO IDENTIFIER:** Application Number 10/516733-Conf. #8573
Patent Number**Inventor:** You-Ping Chan et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** PATTON BOGGS LLP

Scott A.M. Chambers

PHONE: (703) 744-8000**Attorney Dkt. #:** 022290.0122PTUS**PAGES (Including Cover Sheet):** 6**CONTENTS:** Statement By Assignee to Establish Ownership (37 CFR 3.73(b)) (1 page)
Power of Attorney to Prosecute Applications before the USPTO
Copy of Assignment Document (2 pages)
Certificate of Transmission (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (703) 744-8000 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

PATTON BOGGS LLP8484 Westpark Drive, 9th Floor, McLean, Virginia 22102
Telephone: (703) 744-8000 Facsimile: (703) 744-8001

RECEIVED
 CIVIL RIGHTS DIVISION
 FEB 09 2006

PTO/SB/98 (09-04)
 Approved for use through 07/31/2006. OMB 0651-0031
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: You-Ping Chan et al.

Application No./Patent No.: 10/516733 Filed/Issue Date: December 6, 2004

Entitled: POLYAMINOACIDS FUNCTIONALIZED BY ALPHA TOCOPHEROL AND USES THEREOF,
 PARTICULAR FOR THERAPEUTIC APPLICATIONS

Flamel Technologies, a Corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 The extent (by percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


 Signature

February 8, 2006

Date

Scott A.M. Chambers, Ph.D.

Printed or Typed Name

703-744-8000

Telephone Number

Legal Representative of the Assignee

Title

PTO/BB/98 (11-04)

Approved for use through 11/30/2005, OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with Customer Number:

32042

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number

32042

OR

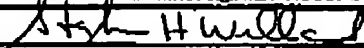
<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	ZIP	
Country			
Telephone	Fax		

Assignee Name and Address:
 Flamel Technologies, Inc.
 33 avenue du Dr. Georges Levy
 69693 Venissieux Cedex
 France

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/BB/98 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	December 14, 2005
Name	Stephen Willard	Telephone	+33 (0) 472 783 434
Title	CEO		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

3656575-1

Attorney Docket No.: 09471.0016

JOINT INVENTION
(U.S. Rights Only)**ASSIGNMENT**

WHEREAS, We, the below named inventors, (hereinafter referred to as assignors, have made an invention entitled:

POLYAMINOACIDS FUNCTIONALIZED BY ALPHA TOCOPHEROL AND USES THEREOF, PARTICULAR FOR THERAPEUTIC APPLICATIONS

for which we executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on December 6, 2004 (Application No.); and

WHEREAS, FLAMEL TECHNOLOGIES, a corporation of France, whose post office address is 33 avenue du Docteur Georges Lévy, 69200 Venissieux, France (hereinafter referred to as assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from assignee is hereby acknowledged, we, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY further covenant and agree that we will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, WE HEREBY authorize and request the attorneys we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. 10/516,733 , filed December 6, 2004) the filing date and application number of said application when known.

Attorney Docket No.: 09471.0016

IN TESTIMONY WHEREOF, we have hereunto set our hands.

First Assignor

You-Ping CHAN
14 bd Jean XXIII,
69008 Lyon, France

Citizenship: ~~France~~ MAURITIUS

Signature: _____

Date: _____

23 August 2005

Second Assignor

Stéphanie ANGOT
123 bis cours Albert Thomas,
69003 Lyon, France

Citizenship: France

Signature: _____

Date: _____

August 29 September 2005

Third Assignor

Olivier BREYNE
5 rue Rosset,
69004 Lyon, France

Citizenship: France

Signature: _____

Date: _____

August 24, 2005

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0851-0031

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/516733

Attorney Docket No.: 022290.0122PTUS

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on February 8, 2006
Date

Stacey Gambrell
Signature

Stacey A. Gambrell

Typed or printed name of person signing Certificate

Registration Number, if applicable

(703) 744-8044

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Statement By Assignee to Establish Ownership (37 CFR 3.73(b)) (1 page)
Power of Attorney to Prosecute Applications before the USPTO
Copy of Assignment Document (2 pages)